

# A Farey Tour

Katherine E. Stange

University of Colorado, Boulder

Images: Sage Mathematics Software, Ipe, xypic



# The Farey subdivision



$$\frac{a}{b} < \frac{a+c}{b+d} < \frac{c}{d}$$

# The Farey subdivision



$$\frac{a}{b} < \frac{a+c}{b+d} < \frac{c}{d}$$

# The Farey subdivision



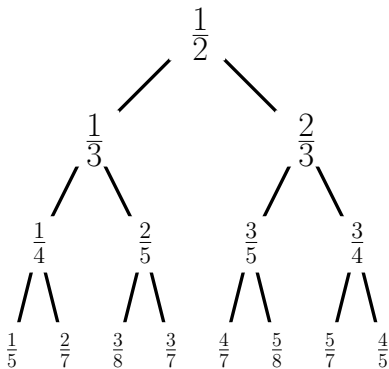
$$\frac{a}{b} < \frac{a+c}{b+d} < \frac{c}{d}$$

# The Farey subdivision

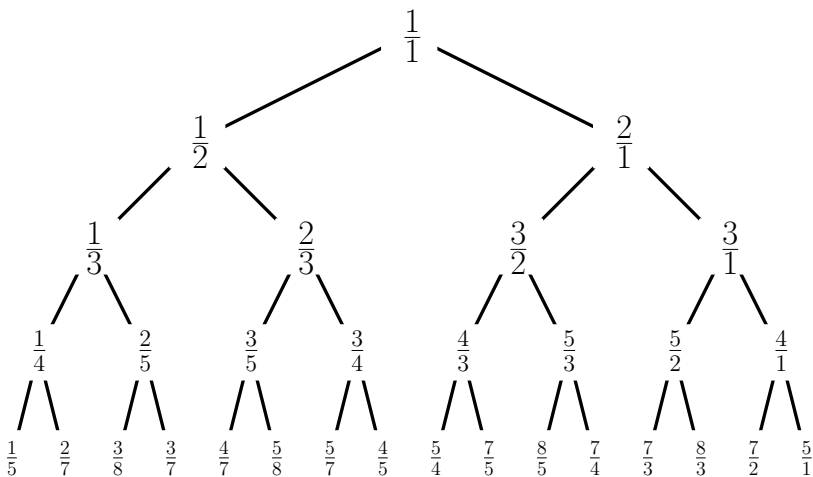


$$\frac{a}{b} < \frac{a+c}{b+d} < \frac{c}{d}$$

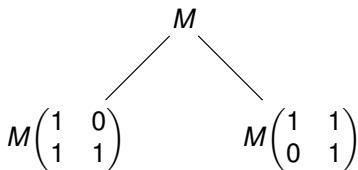
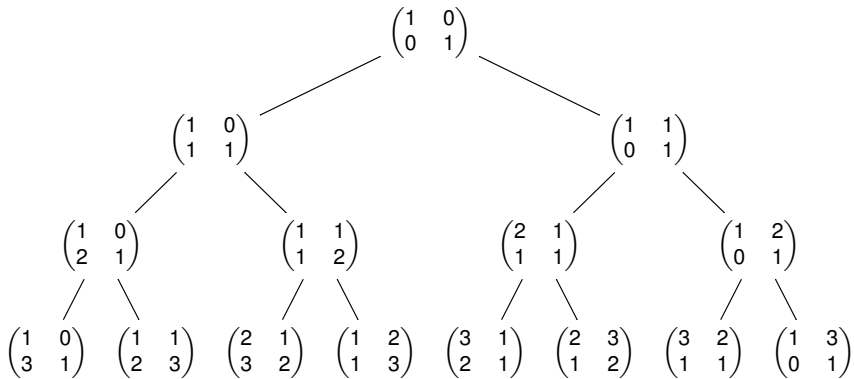
# An arborist's view of $\mathbb{P}^1(\mathbb{Z})$



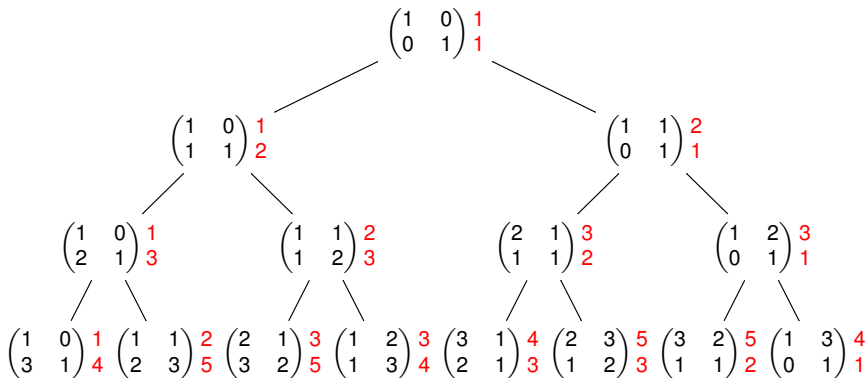
# An arborist's view of $\mathbb{P}^1(\mathbb{Z})$



# Money may not, but matrices do: $SL_2^+(\mathbb{Z})$



# Money may not, but matrices do: $SL_2^+(\mathbb{Z})$



$$M \mapsto M \begin{pmatrix} 1 \\ 1 \end{pmatrix}$$

# The address of $\alpha \in \mathbb{R}$



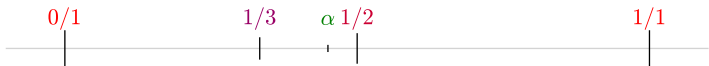
$$\frac{a}{b} < \frac{a+c}{b+d} < \frac{c}{d}$$

## The address of $\alpha \in \mathbb{R}$



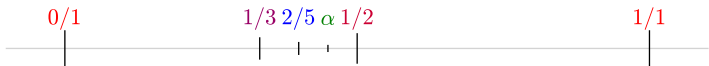
$$\frac{a}{b} < \frac{a+c}{b+d} < \frac{c}{d}$$

## The address of $\alpha \in \mathbb{R}$



$$\frac{a}{b} < \frac{a+c}{b+d} < \frac{c}{d}$$

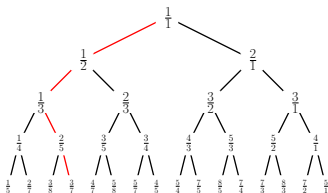
# The address of $\alpha \in \mathbb{R}$



$$\frac{a}{b} < \frac{a+c}{b+d} < \frac{c}{d}$$

# The address of $\alpha$

Real number  $\alpha$



Infinite path through tree:

$$L^{a_0} R^{a_1} L^{a_2} R^{a_3} \dots$$

Matrix product:

$$\begin{pmatrix} 1 & 0 \\ a_0 & 1 \end{pmatrix} \begin{pmatrix} 1 & a_1 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ a_2 & 1 \end{pmatrix} \begin{pmatrix} 1 & a_3 \\ 0 & 1 \end{pmatrix} \dots$$

# The Farey subdivision: Continued fractions / Euclidean algorithm

$$\alpha = a_0 + \frac{1}{a_1 + \frac{1}{a_2 + \frac{1}{a_3 + \frac{1}{\ddots + \frac{1}{a_n}}}}} \quad \begin{aligned} x_{n+2} &= -x_{n+1}a_n + x_n \\ &\vdots \\ x_4 &= -x_3a_2 + x_2 \\ x_3 &= -x_2a_1 + x_1 \\ x_2 &= -x_1a_0 + x_0 \end{aligned}$$

$$\begin{aligned} \begin{pmatrix} p_n \\ q_n \end{pmatrix} &= \begin{pmatrix} 0 & 1 \\ 1 & a_0 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & a_1 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & a_2 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & a_3 \end{pmatrix} \cdots \begin{pmatrix} 0 & 1 \\ 1 & a_n \end{pmatrix} \begin{pmatrix} 1 \\ 1 \end{pmatrix} \\ &= \begin{pmatrix} 1 & 0 \\ a_0 & 1 \end{pmatrix} \begin{pmatrix} 1 & a_1 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ a_2 & 1 \end{pmatrix} \begin{pmatrix} 1 & a_3 \\ 0 & 1 \end{pmatrix} \cdots \begin{pmatrix} 1 & a_n \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 \\ 1 \end{pmatrix} \end{aligned}$$

## Farey subdivision: frothy version

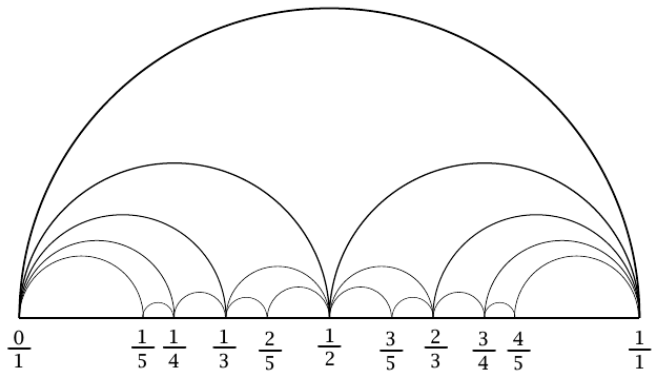


image from Allen Hatcher's *Topology of Numbers*

## Farey subdivision: frothy version

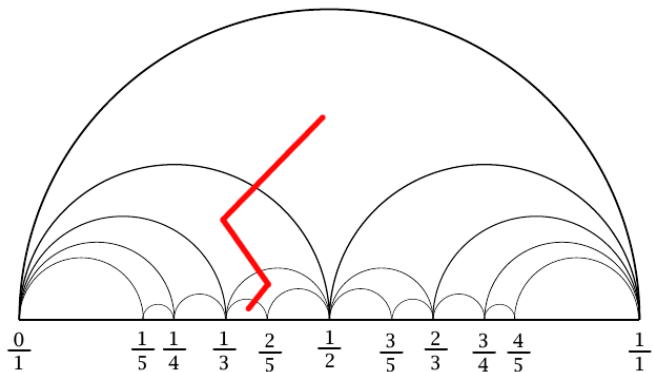
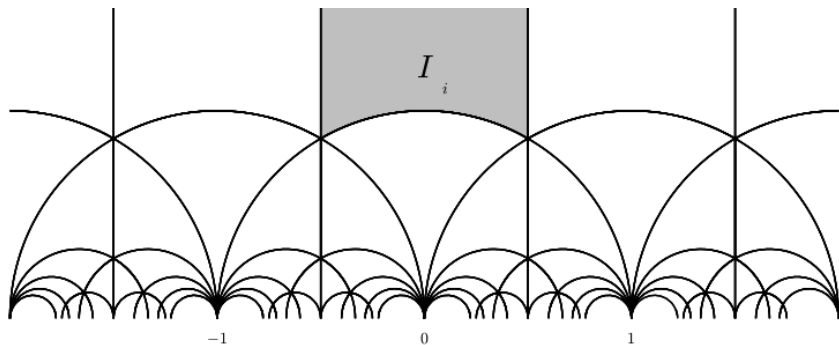


image from Allen Hatcher's *Topology of Numbers*

# PSL<sub>2</sub>(ℤ)

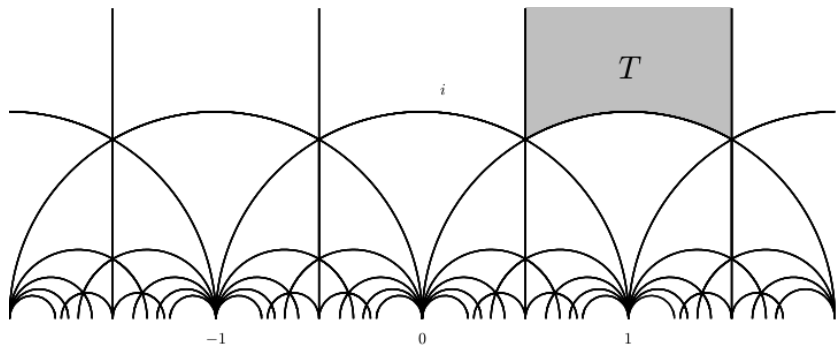
$$\left[ z \mapsto \frac{az + b}{cz + d} \right] \iff \left[ \begin{pmatrix} z_1 \\ z_2 \end{pmatrix} \mapsto \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} z_1 \\ z_2 \end{pmatrix} \right]$$



$$S = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}, \quad T = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}.$$

# PSL<sub>2</sub>(ℤ)

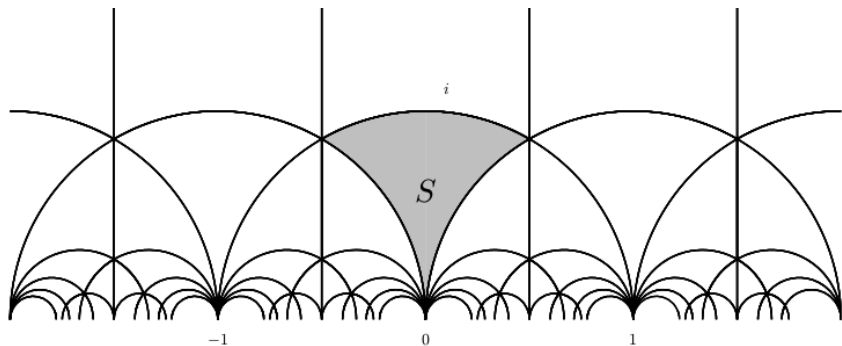
$$\left[ z \mapsto \frac{az + b}{cz + d} \right] \iff \left[ \begin{pmatrix} z_1 \\ z_2 \end{pmatrix} \mapsto \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} z_1 \\ z_2 \end{pmatrix} \right]$$



$$S = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}, \quad T = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}.$$

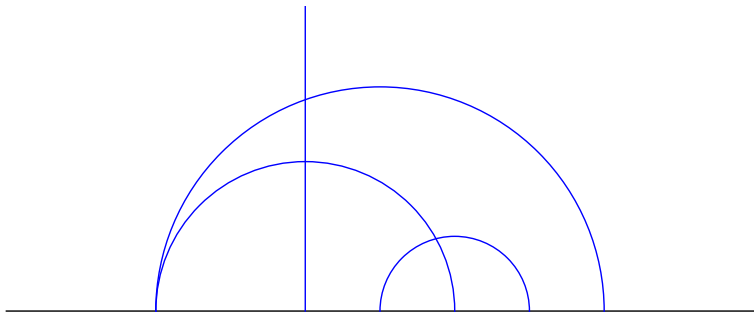
# PSL<sub>2</sub>(Z)

$$\left[ z \mapsto \frac{az + b}{cz + d} \right] \iff \left[ \begin{pmatrix} z_1 \\ z_2 \end{pmatrix} \mapsto \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} z_1 \\ z_2 \end{pmatrix} \right]$$

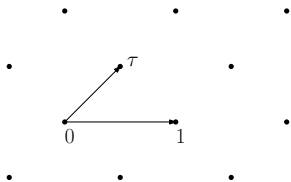
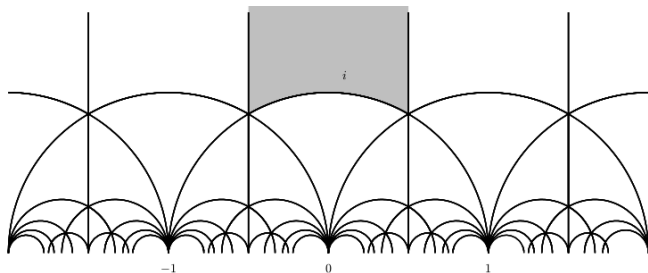


$$S = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}, \quad T = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}.$$

# Hyperbolic 2-space



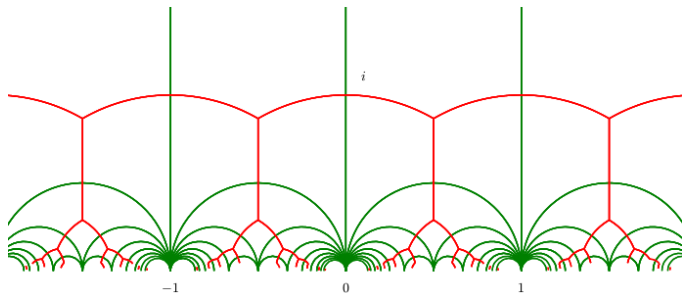
# Moduli space of elliptic curves



$\{\text{elliptic curves over } \mathbb{C}\} \longleftrightarrow \{\mathbb{C}/\Lambda\}$

$SL_2(\mathbb{Z}) = \text{change of basis}$

## Tree in Bubbles



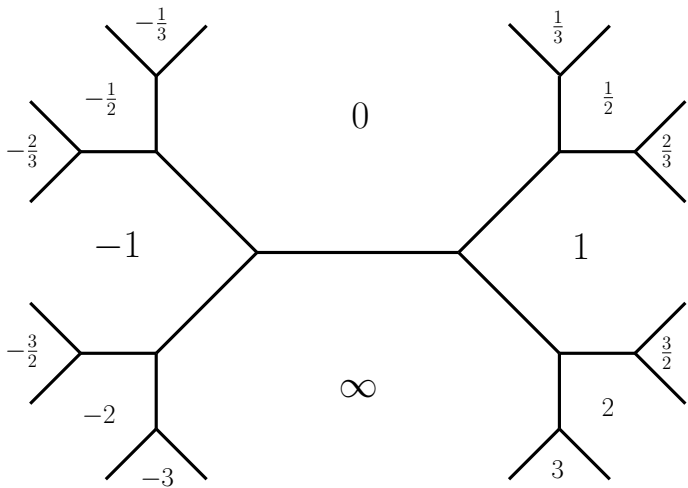
Tessellation group:

$$\begin{aligned}\Pi(2) &= \{M \in \mathrm{PGL}_2(\mathbb{Z}) : M \cong I \pmod{2}\} \\ &= \left\langle \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} -1 & 2 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} -1 & 0 \\ 2 & 1 \end{pmatrix} \right\rangle.\end{aligned}$$

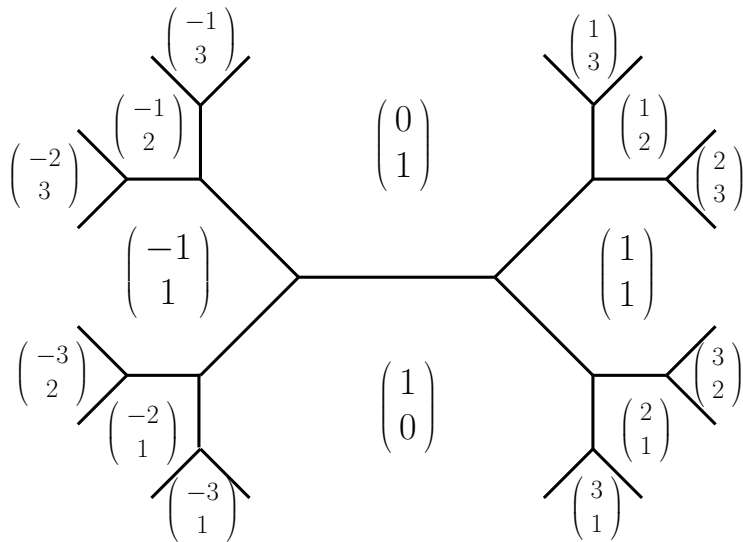
Cayley graph / Stern-Brocot tree (red):

- Vertices: triangles / elements of  $\Pi(2)$
- edges: walls / pairs  $(g, gs)$  where  $s$  is a generator

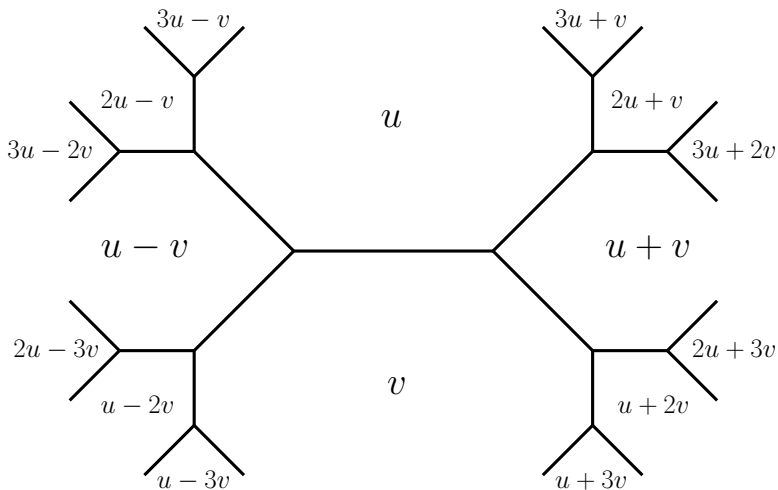
# Topograph



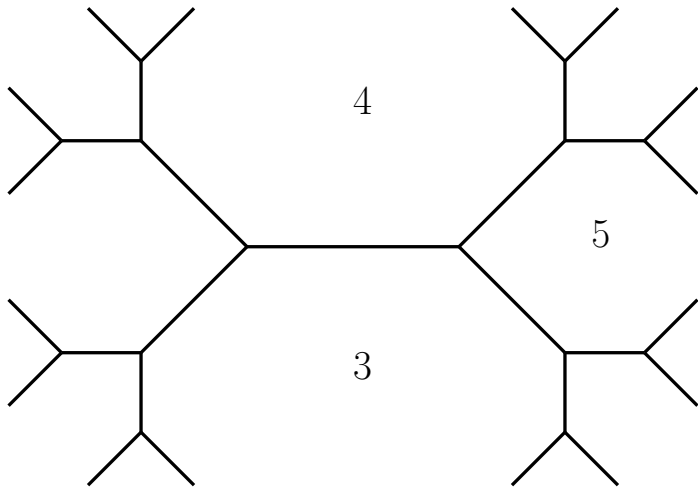
vertex = superbasis (3 vectors, each pair a basis)



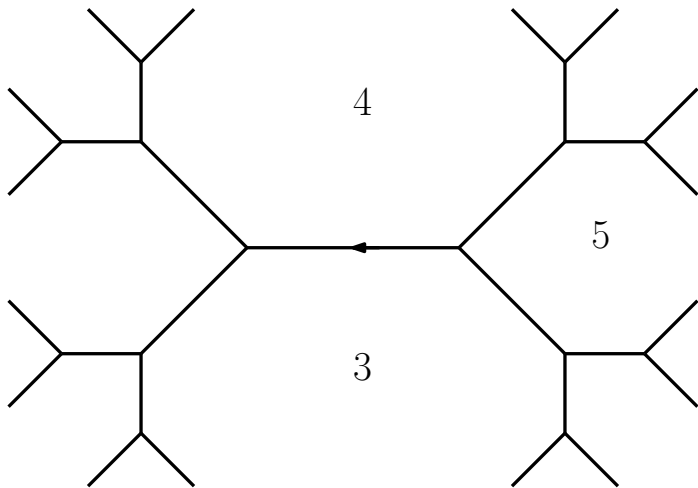
$$f(u + v) + f(u - v) = 2(f(u) + f(v))$$



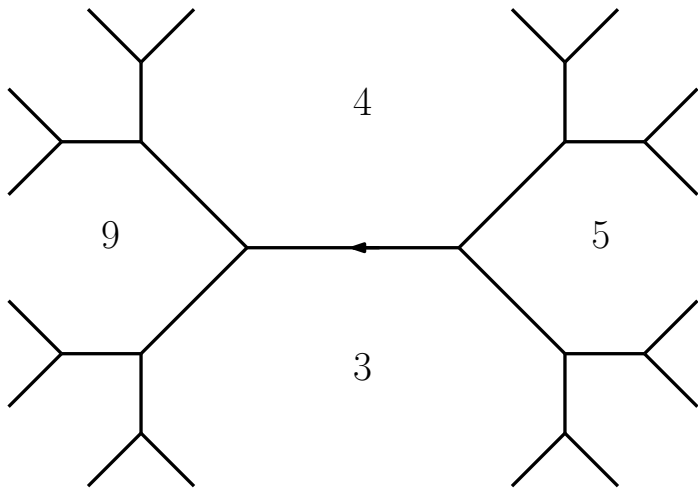
# Conway's sensual quadratic form



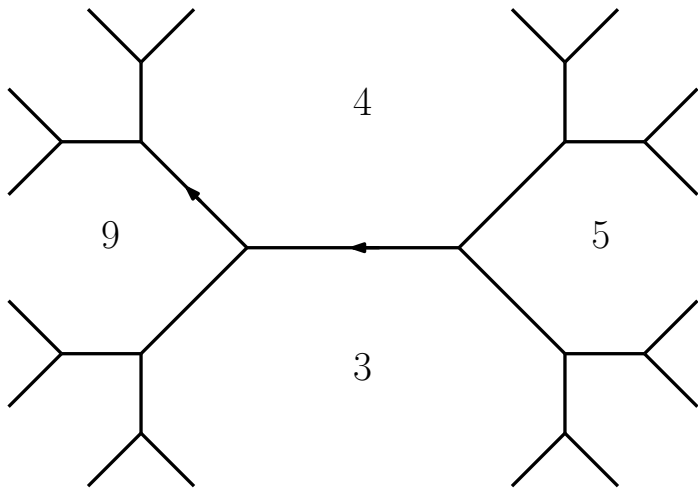
# Conway's sensual quadratic form



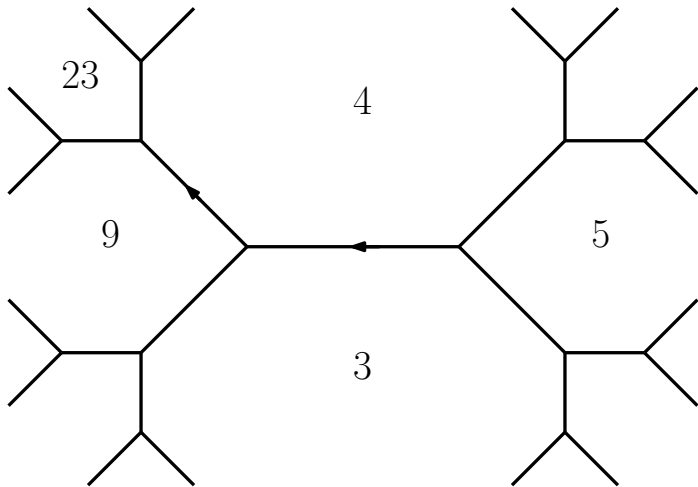
## Conway's sensual quadratic form



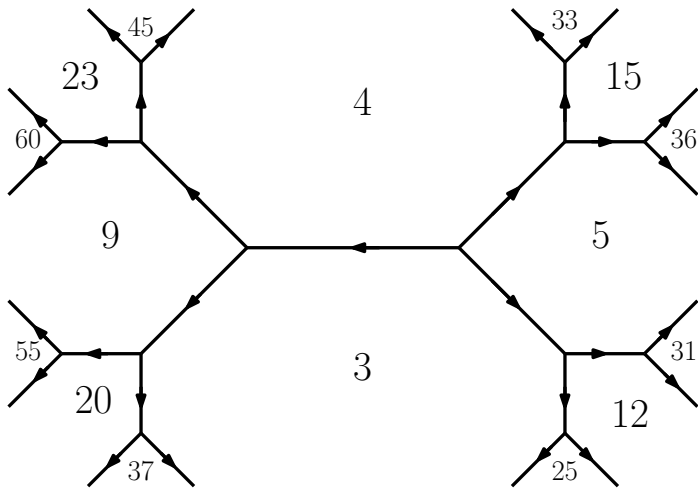
## Conway's sensual quadratic form



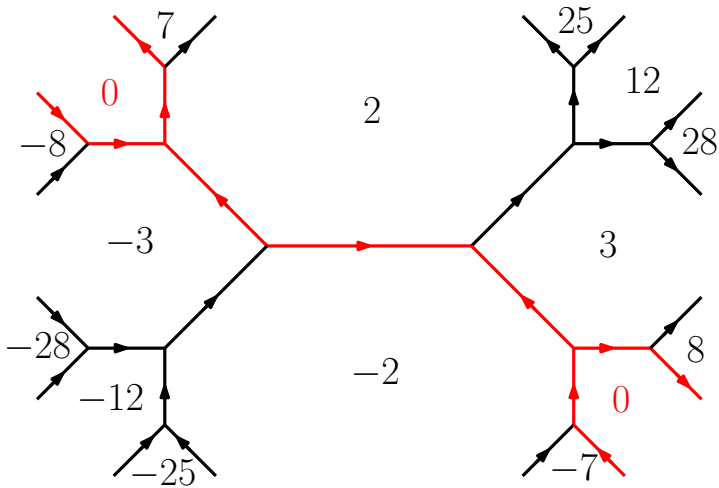
# Conway's sensual quadratic form



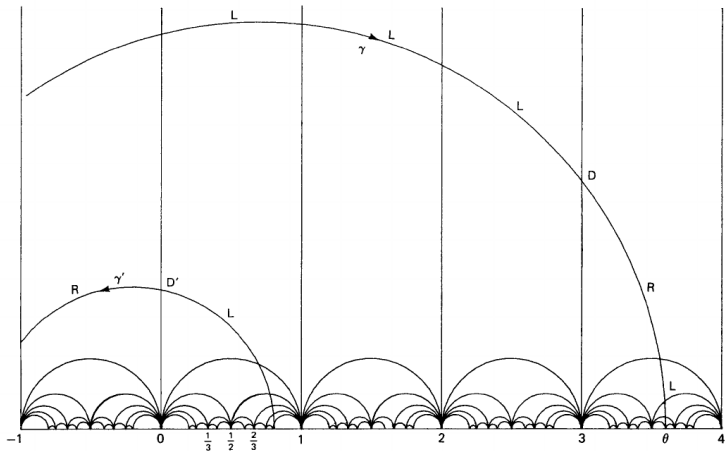
# Conway's sensual quadratic form



# Conway's sensual quadratic form



# Continued fractions as geodesics



address of  $\alpha = L^{a_0} R^{a_1} L^{a_2} R^{a_3} \dots = [a_0, a_1, a_2, \dots]$

Image from Caroline Series' *The Geometry of Markoff Numbers*

# Gauss map

## Question

*For  $\alpha \in \mathbb{R}$ , what is frequency of appearance of a finite string  $n_0, n_1, n_2, \dots, n_k$  in the continued fraction expansion?*

# Gauss map

## Question

For  $\alpha \in \mathbb{R}$ , what is frequency of appearance of a finite string  $n_0, n_1, n_2, \dots, n_k$  in the continued fraction expansion?

## Answer

$$\frac{1}{\ln 2} \int_{(a,b)} \frac{dx}{1+x} = \log_2 \left( \frac{1+b}{1+a} \right)$$

where  $(a, b)$  is the interval of real numbers with continued fraction expansion beginning  $n_0, \dots, n_k$ .

E.g. with probability one,  $\alpha$  has continued fraction expansion with frequency  $0.415\dots$  of the digit 1.

# Gauss map

## Question

For  $\alpha \in \mathbb{R}$ , what is frequency of appearance of a finite string  $n_0, n_1, n_2, \dots, n_k$  in the continued fraction expansion?

## Answer

$$\frac{1}{\ln 2} \int_{(a,b)} \frac{dx}{1+x} = \log_2 \left( \frac{1+b}{1+a} \right)$$

where  $(a, b)$  is the interval of real numbers with continued fraction expansion beginning  $n_0, \dots, n_k$ .

E.g. with probability one,  $\alpha$  has continued fraction expansion with frequency 0.415... of the digit 1.

## Reason

$$T : x \mapsto \{1/x\} \quad (\text{fractional part})$$

$T$  has invariant measure  $\frac{1}{\ln 2} \frac{dx}{1+x}$  w.r.t. which it is ergodic

# Farey Tessellation

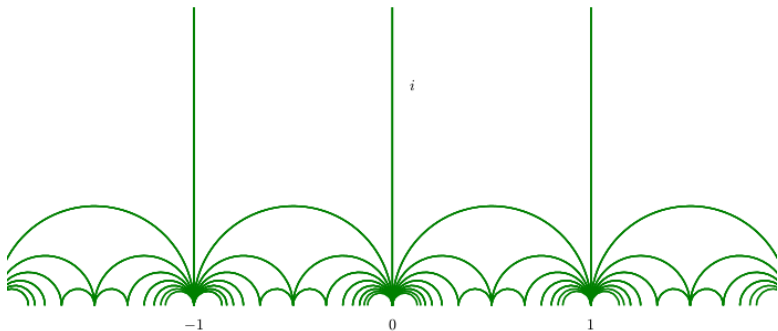


Image of  $\{0, \infty\}$  (and its geodesic) under  $\mathrm{PSL}_2(\mathbb{Z})$ .

## Schmidt Arrangements

The *Schmidt arrangement* of a imaginary quadratic field  $K$  is the orbit of  $\widehat{\mathbb{R}}$  under the Möbius transformations given by the *Bianchi group*

$$\mathrm{PSL}_2(\mathcal{O}_K) = \left\{ \begin{pmatrix} \alpha & \gamma \\ \beta & \delta \end{pmatrix} : \alpha, \beta, \delta, \gamma \in \mathcal{O}_K, \alpha\delta - \beta\gamma = 1 \right\} / \pm I$$

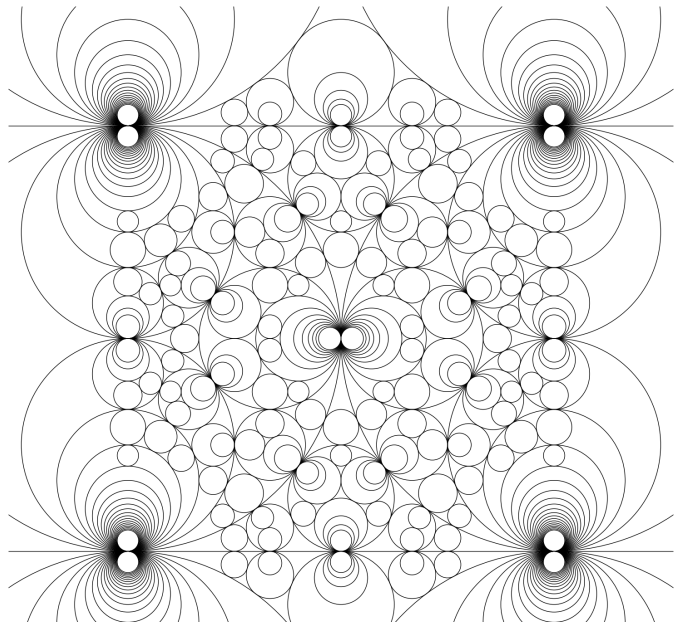
That is,

$$\begin{pmatrix} \alpha & \gamma \\ \beta & \delta \end{pmatrix} \leftrightarrow \left( z \mapsto \frac{\alpha z + \gamma}{\beta z + \delta} \right).$$

Each individual image  $M(\widehat{\mathbb{R}})$  is called a *K-Bianchi circle*.

$$\mathcal{S}_K = \{K\text{-Bianchi circles}\}$$

## Schmidt Arrangement of $\mathbb{Q}(i)$



# History: 1975

DIOPHANTINE APPROXIMATION OF COMPLEX NUMBERS

5

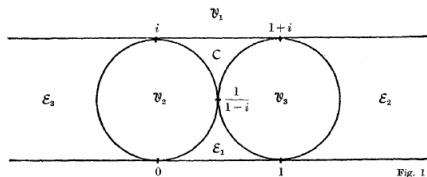


Fig. 1

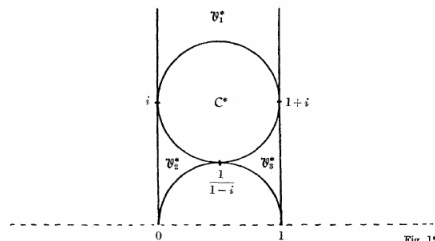


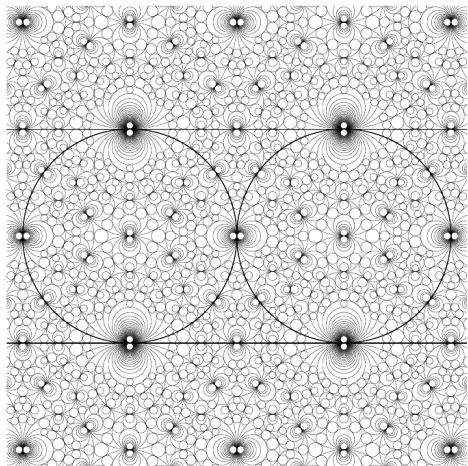
Fig. 1\*

- Asmus Schmidt, *Diophantine Approximation of Complex Numbers*, Acta Arithmetica, 1975.
- Continued fractions for  $\mathbb{Z}[i]$ ,  $\mathbb{Z}[\sqrt{-2}]$  etc. made use of  $S_K$  (defined essentially this way).

# Comparison

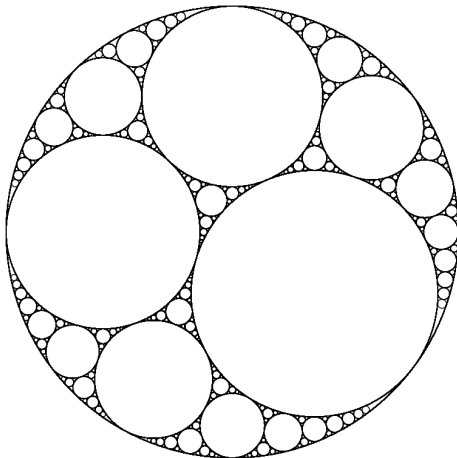
|                              |                                 |
|------------------------------|---------------------------------|
| $\mathbb{Z}$                 | $\mathbb{Z}[i]$                 |
| $\mathrm{PSL}_2(\mathbb{Z})$ | $\mathrm{PSL}_2(\mathbb{Z}[i])$ |
| $\{0, \infty\}$              | $\widehat{\mathbb{R}}$          |
| $\Pi(2)$                     | ?                               |
| superbasis (3 pts)           | ?                               |
| swap out one                 | ?                               |
| Stern-Brocot tree            | ?                               |
| Gauss map                    | ?                               |

## History: 2006



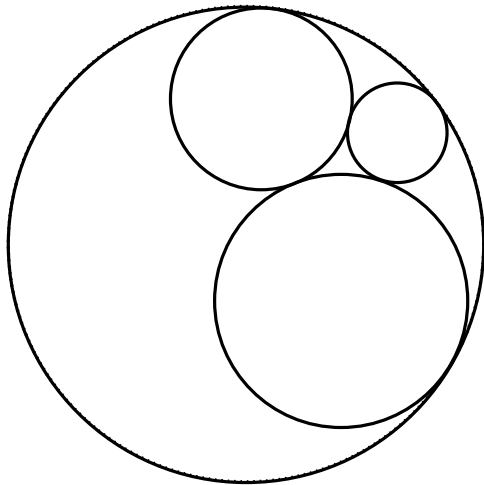
- Graham, Lagarias, Mallows, Wilks, Yan, *Apollonian Circle Packings: Geometry and Group Theory II. Super-Apollonian Group and Integral Packings*, Discrete and Computational Geometry, 2006.
- Superpacking defined differently but equivalently.

# Apollonian Circle Packings



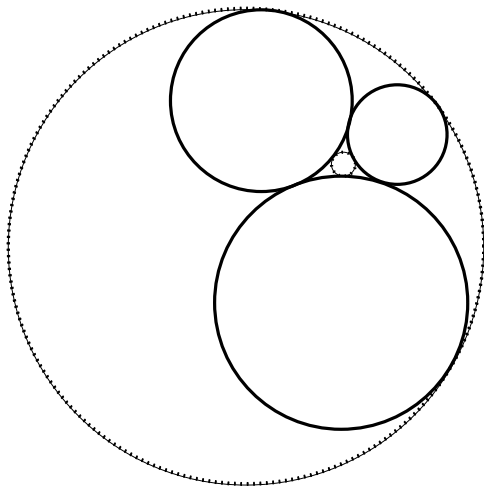
## Apollonian Circle Packings

A Descartes quadruple is any collection of four circles which are pairwise mutually tangent, with disjoint interiors.



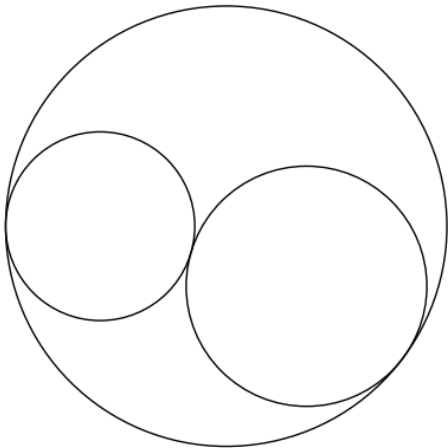
## Apollonian Circle Packings

Given any three mutually tangent circles, there are exactly two ways to complete the triple to a Descartes quadruple.



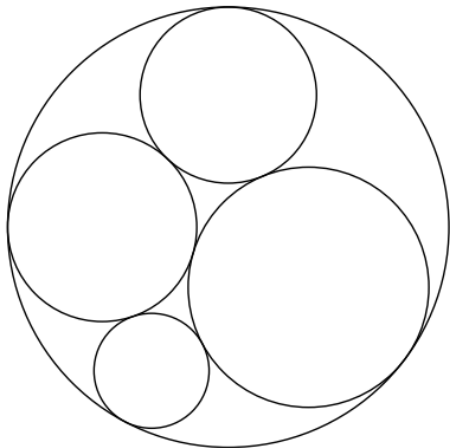
# Apollonian Circle Packings

Beginning with any three mutually tangent circles...



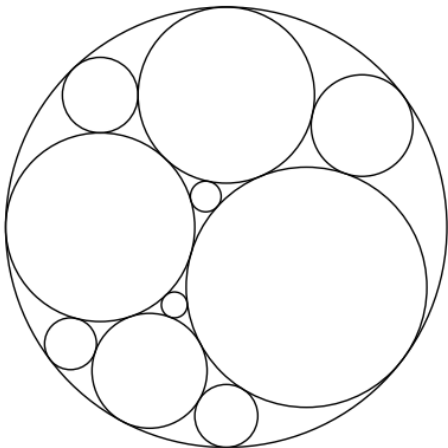
## Apollonian Circle Packings

Beginning with any three mutually tangent circles, add in both new circles which would complete the triple to a Descartes quadruple.



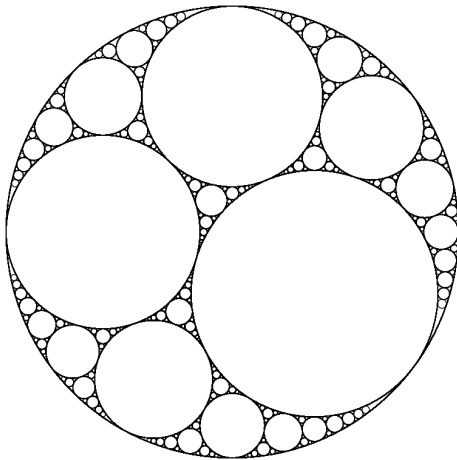
## Apollonian Circle Packings

Repeat: for every triple of mutually tangent circles in the collection, add the two 'completions.'



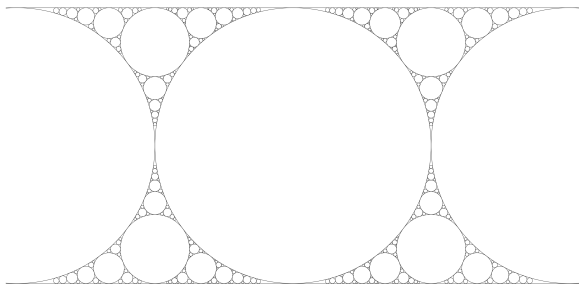
# Apollonian Circle Packings

Repeating ad infinitum, we obtain an Apollonian circle packing.

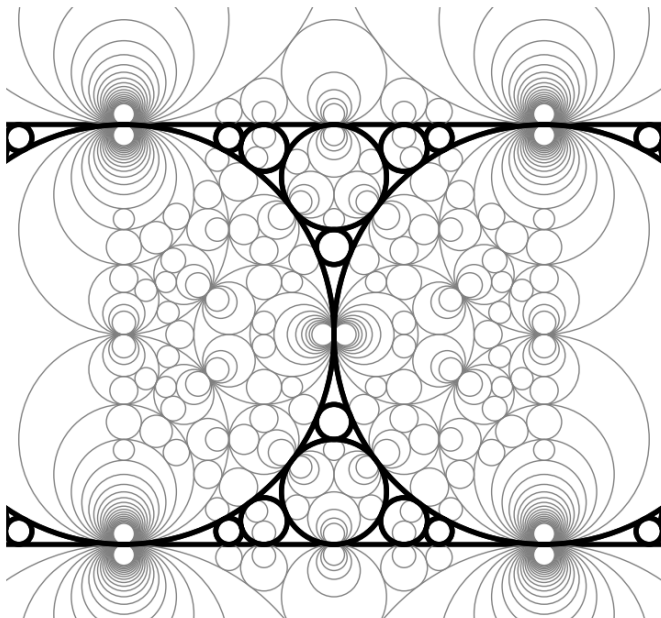


# Apollonian Circle Packings

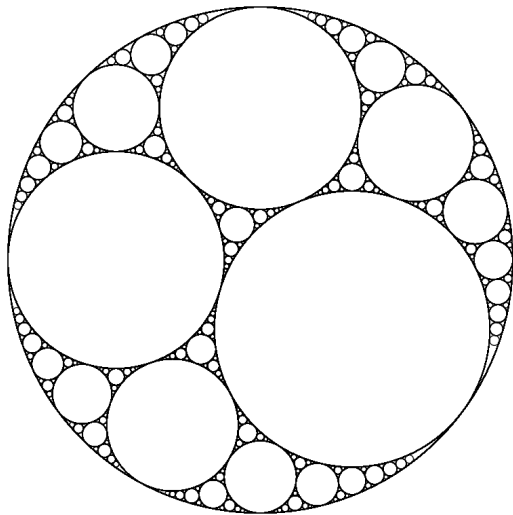
Repeating ad infinitum, we obtain an Apollonian circle packing.



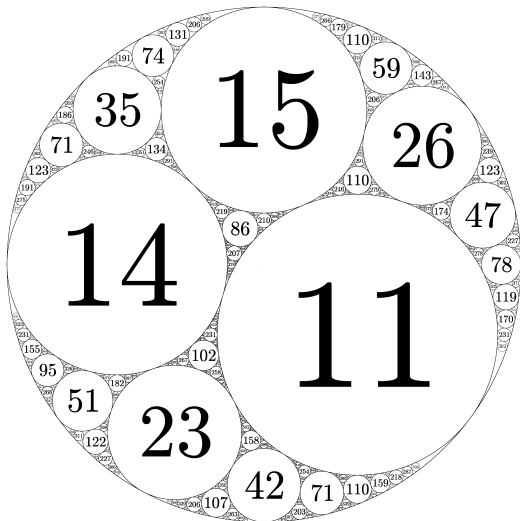
# ACP in Schmidt Arrangement of $\mathbb{Q}(i)$



# Apollonian Circle Packings



# Apollonian Circle Packings



# The Descartes Rule

The curvatures (inverse radii) in a Descartes configuration satisfy

$$2(a^2 + b^2 + c^2 + d^2) = (a + b + c + d)^2.$$

If  $a, b, c$  are fixed, there are two solutions  $d, d'$ , where

$$d + d' = 2(a + b + c).$$

Hence an **integer** Descartes quadruple generates an Apollonian packing of **integer curvatures**.

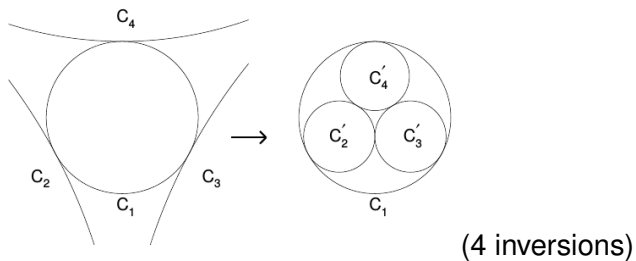
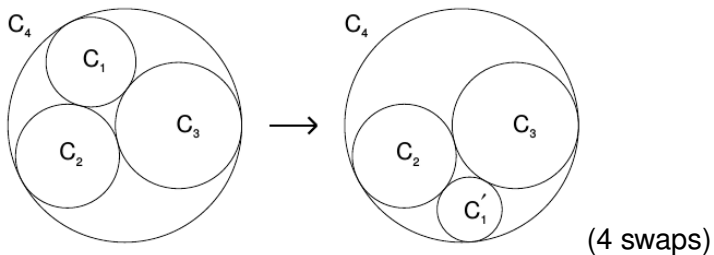
# Local-Global Conjecture

Conjecture (Graham–Lagarias–Mallows–Wilks–Yan,  
Fuchs–Sanden)

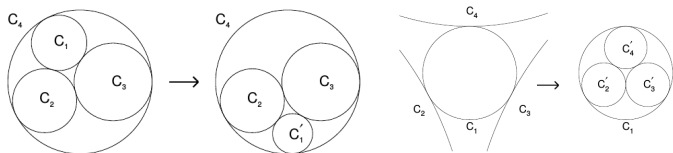
*$\mathcal{P}$  a primitive, integral ACP. Let  $S$  be the set of residues of curvatures modulo 24. Then any sufficiently large integer with a residue in  $S$  occurs as a curvature.*

- Bourgain, Fuchs: Curvatures have positive density in  $\mathbb{Z}$ .
- Bourgain, Kontorovich: Density one occur.

## Super-Apollonian group



# Super-Apollonian group



$$\langle S_1, S_2, S_3, S_4, S_1^\perp, S_2^\perp, S_3^\perp, S_4^\perp : \\ S_i^2 = (S_i^\perp)^2 = (S_i S_j^\perp)^2 = (S_j^\perp S_i)^2 = 1 \rangle$$

# Comparison

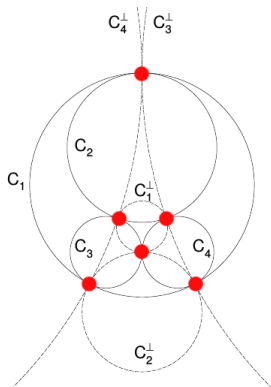


Image modified from Graham, Lagarias, Mallows, Wilks, Yan

| $\mathbb{Z}$                 | $\mathbb{Z}[i]$                         |
|------------------------------|---|
| $\mathrm{PSL}_2(\mathbb{Z})$ | $\mathrm{PSL}_2(\mathbb{Z}[i])$         |
| $\{0, \infty\}$              | $\widehat{\mathbb{R}}$                  |
| $\Pi(2)$                     | super-Apollonian grp                    |
| superbasis (3 pts)           | Descartes quad'ple<br>with dual (6 pts) |
| swap out one                 | swap out three                          |
| Stern-Brocot tree            | ?                                       |
| Gauss map                    | ?                                       |

## Lorentz form

Denote a Descartes quadruple by its curvatures  $(A, B, C, D)$ .  
The Descartes form

$$2(A^2 + B^2 + C^2 + D^2) = (A + B + C + D)^2$$

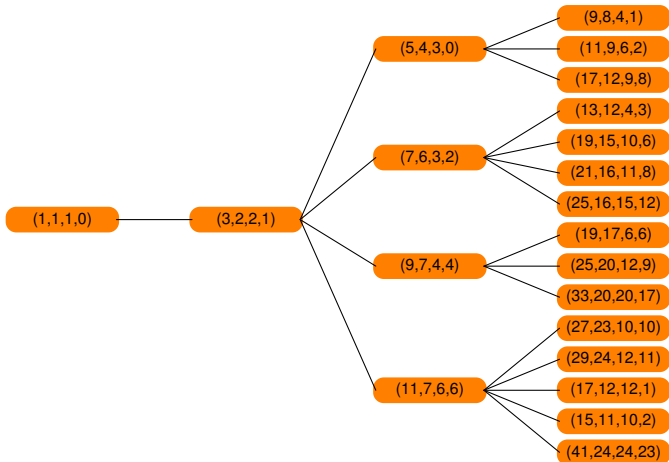
is conjugate to the Lorentz form

$$a^2 = b^2 + c^2 + d^2.$$

So we may also use a Lorentz quadruple  $(a, b, c, d)$ .

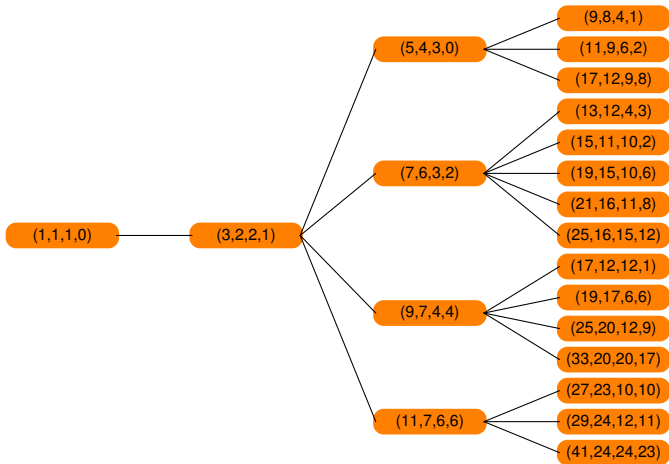
## Swap down tree

Descend the Cayley graph using *normal form* (Graham et al.):  
No  $S_i^2$ ,  $(S_i^\perp)^2$  or  $S_i^\perp S_j$ . In other words, descend by a swap  
instead of an inversion if possible.



## Drop down tree

Descend to origin, by repeatedly moving to the quadruple whose Lorentz form  $(a, b, c, d)$  has smallest  $a$ .



# Swap down dynamical system

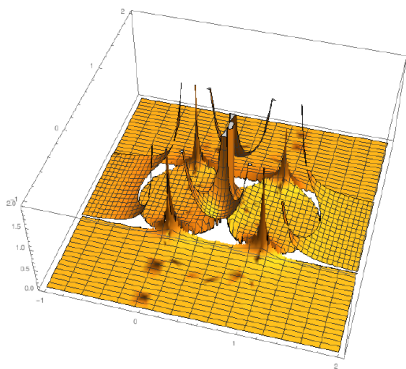
Define a dynamical system on Lorentz quadruples:

$$T(a, b, c, d) = \begin{cases} (2a - b - c - d, a - c - d, a - b - d, a - b - c) & 2a - b - c - d < 0 \\ (2a - b + c + d, a + c + d, -a + b - d, -a + b - c) & 2a - b + c + d < 0 \\ (2a + b + c - d, -a - c + d, -a - b + d, a + b + c) & 2a + b + c - d < 0 \\ (2a + b - c + d, -a + c - d, a + b + d, -a - b - c) & 2a + b - c + d < 0 \\ (2a - b - c + d, a - c + d, a - b + d, -a + b + c) & 2a - b - c + d < 0 \\ (2a + b - c - d, -a + c + d, a + b - d, a + b - c) & 2a + b - c - d < 0 \\ (2a - b + c - d, a + c - d, -a + b + d, a - b + c) & 2a - b + c - d < 0 \\ (2a + b + c + d, -a - c - d, -a - b - d, -a - b - c) & 2a + b + c + d < 0 \end{cases}$$

## Theorem (Chaubey-Fuchs-Hines-S.)

*This dynamical system moves to the root  $(1, 1, 0, 0)$  along the swap-down tree.*

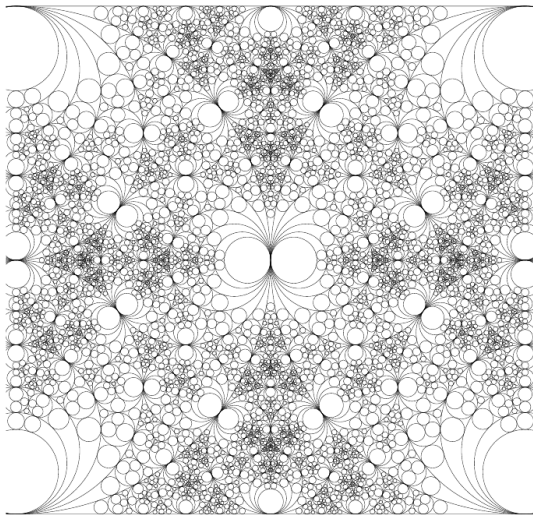
# Invariant Measure



Theorem (Chaubey-Fuchs-Hines-S.)

*There is an explicit invariant measure for  $T$ .*

## Words of length $\leq 5$ in swap down tree



Circles generated by normal form words of length  $\leq 5$ .

# Comparison

$\mathbb{Z}$

$\mathrm{PSL}_2(\mathbb{Z})$

$\{0, \infty\}$

$\Pi(2)$

superbasis (3 pts)

swap out one

Stern-Brocot tree

Gauss map

$\mathbb{Z}[i]$

$\mathrm{PSL}_2(\mathbb{Z}[i])$

$\widehat{\mathbb{R}}$

super-Apollonian group

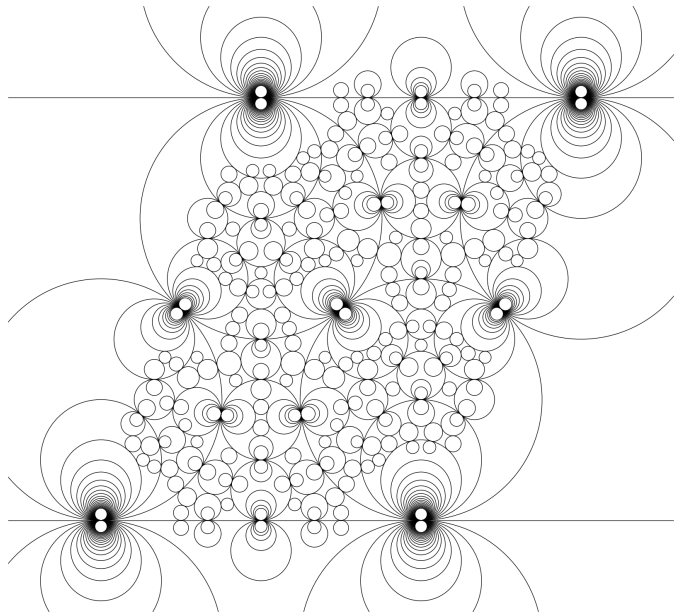
Descartes quadruple with dual (6 pts)

swap out three

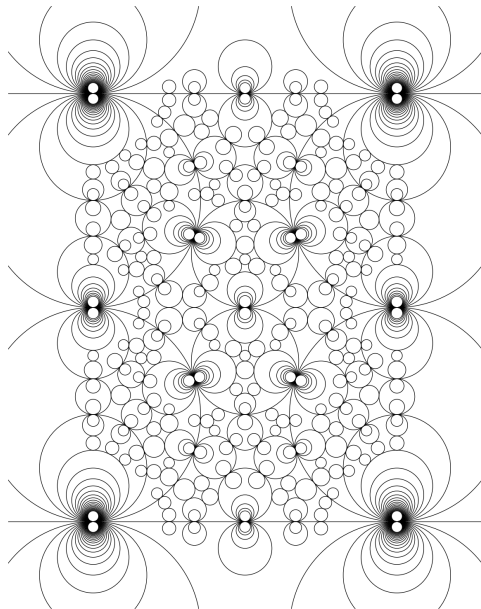
swap-down or drop-down tree

apollonian dynamics

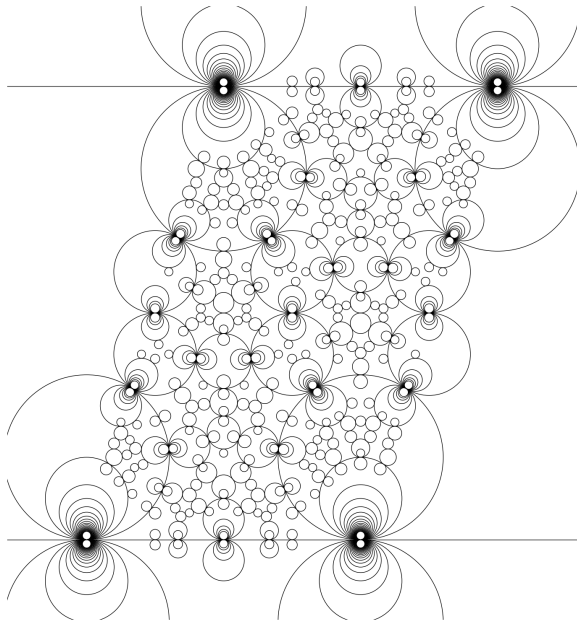
# Schmidt Arrangement of $\mathbb{Q}(\sqrt{-7})$



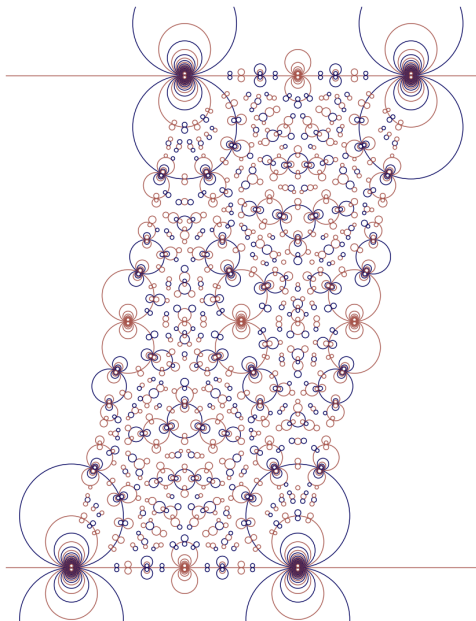
# Schmidt Arrangement of $\mathbb{Q}(\sqrt{-2})$



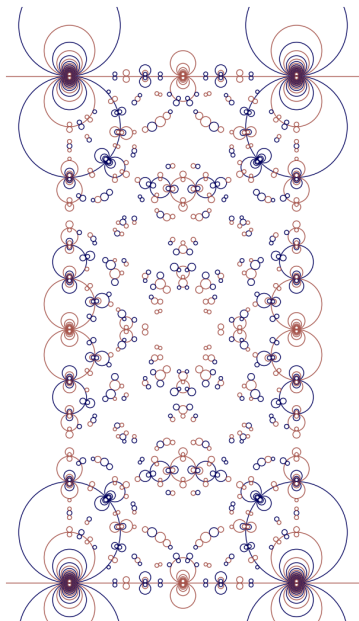
# Schmidt Arrangement of $\mathbb{Q}(\sqrt{-11})$



# Schmidt Arrangement of $\mathbb{Q}(\sqrt{-19})$

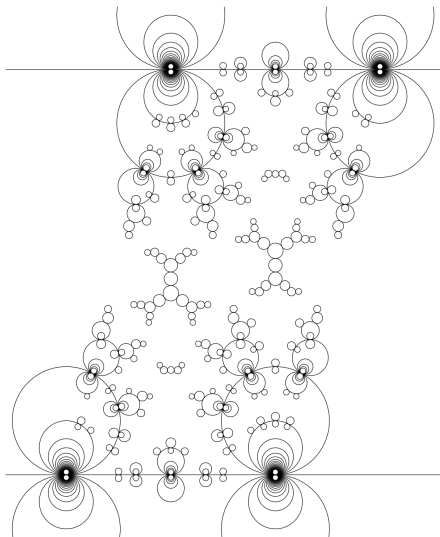


# Schmidt Arrangement of $\mathbb{Q}(\sqrt{-5})$

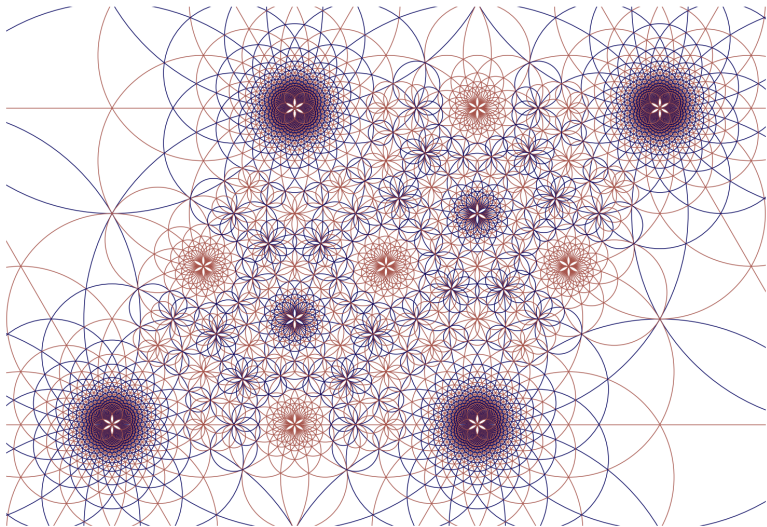




# Schmidt Arrangement of $\mathbb{Q}(\sqrt{-15})$



## Schmidt Arrangement of $\mathbb{Q}(\sqrt{-3})$



Now the theme on AMS YouTube, Twitter, etc.

## Basic properties of $\mathcal{S}_K$

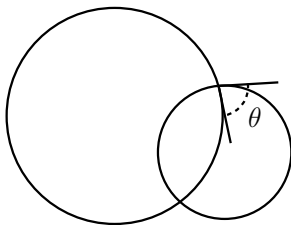
$$\Delta = \text{Disc}(K)$$

### Proposition (S.)

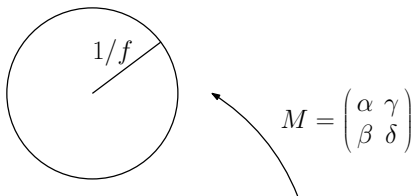
*The curvatures in  $\mathcal{S}_K$  lie in  $\sqrt{-\Delta}\mathbb{Z}$ .*

### Proposition (S.)

*$K$ -Bianchi circles intersect at points in  $K$ , at angles  $\theta$  such that  $e^{i\theta}$  is a unit in  $K$ .*



## Circles are ideal classes

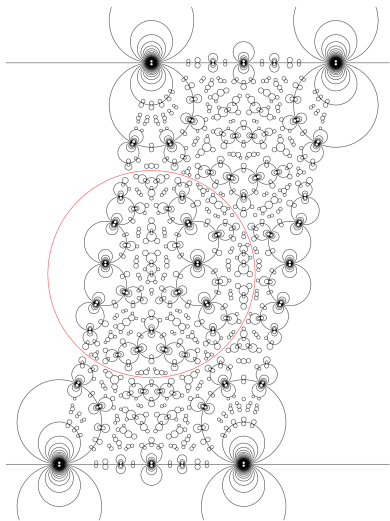


### Theorem (S.)

$$\begin{array}{ccc}
 \left\{ \begin{array}{l} \text{oriented} \\ \text{circles} \end{array} \right\} / \left\{ \begin{array}{l} \text{translations by } \mathcal{O}_K \text{ and} \\ \text{rotations by 'unit angles'} \end{array} \right\} & M(\widehat{\mathbb{R}}) & f = \text{curvature} \\
 \updownarrow & \updownarrow & \updownarrow \\
 \left\{ \begin{array}{l} \text{invertible} \\ \text{ideal classes} \end{array} \middle| f \in \mathbb{Z}^{>0}, \mathfrak{a} \mathcal{O}_K \sim \mathcal{O}_K \right\} & \beta\mathbb{Z} + \delta\mathbb{Z} & f = \text{covolume}
 \end{array}$$

**Corollary:** Number of circles of curvature  $f$  (up to equivalence) is  $h_f/h_K$ . (GLMWY for  $\mathbb{Q}(i)$ )

# Euclideanity and $\mathcal{S}_K$



## Theorem (S.)

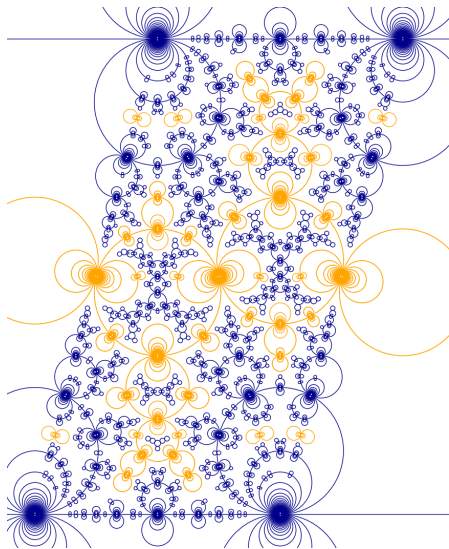
$\mathcal{S}_K$  is connected if and only if  $\mathcal{O}_K$  is Euclidean.

The *ghost circle* is the circle orthogonal to the unit circle having center

$$\begin{cases} \frac{1}{2} + \frac{\sqrt{\Delta}}{4} & \Delta \equiv 0 \pmod{4} \\ \frac{1}{2} + \frac{-\Delta-1}{4\sqrt{\Delta}} & \Delta \equiv 1 \pmod{4} \end{cases} .$$

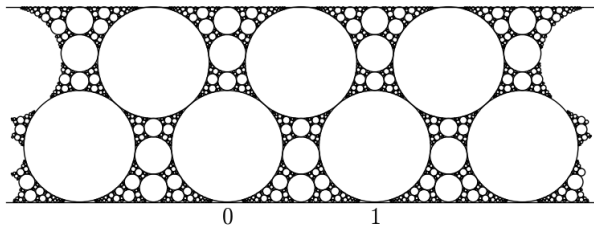
It exists only when  $\mathcal{O}_K$  is non-Euclidean.

# Schmidt Arrangement of $\mathbb{Q}(\sqrt{-15})$ with Ghost Circles

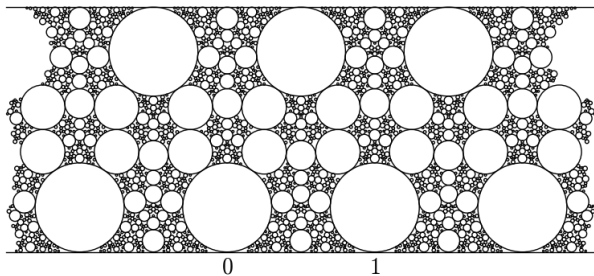


# K-Apollonian Packings

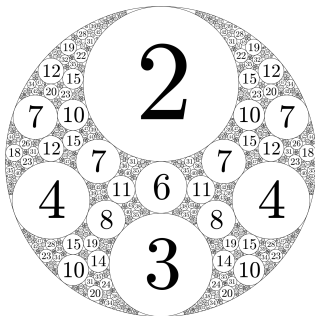
$$\frac{1+\sqrt{7}i}{2}$$



$$\frac{1+\sqrt{11}i}{2}$$



# K-Apollonian Packings



## Theorem (S.)

*The Schmidt arrangement is the disjoint union of all K-Apollonian circle packings (where circles are oriented).*